

CONTINUING LEGAL EDUCATION

THE BAR ASSOCIATION OF SAN FRANCISCO

The Litigation Law Section of the
Barristers Club presents



Hot Topics and Recent Developments in the World of Insider Trading

**Thursday
December 8, 2022**

MCLE Registration: 11:30 a.m. - 12:00 p.m.
In-Person Program: 12:00 - 1:15 p.m.

Speakers

Janice Reicher

Farella Braun + Martel

Walker Newell

Robinhood

Steven Buchholz

The U.S. Securities and Exchange Commission

Cody Gray

Keker, Van Nest & Peters

This program will cover hot topics and recent developments in the world of insider trading. It will discuss, among other things, what insider trading investigations look like, and recent insider trading cases that raise novel and/or interesting legal issues. Discussion topics will include SEC v. Wahi, which concerns whether certain digital assets qualify as securities; SEC v. Panuwat, which addresses an attempt to trade in the securities of certain economically-linked firms to avoid insider trading laws; and SEC v. Fu, an insider trading case brought against a CEO who traded pursuant to a 10b5-1 trading plan.

Topics

- Hot local and national cases related to insider trading;
- What insider trading investigations look like and why some cases turn criminal
- Enforcement trends in insider trading
- Insider trading cases in the digital asset space
- Insider trading cases focused on "shadow" insider trading
- Insider trading cases related to 10b5-1 trading plans

Section Chairs: Carole Okolowicz & Laura Riparbelli

MCLE: 1 Hour

To receive MCLE credit, you must sign in during the designated MCLE registration period. This activity is approved for Minimum Continuing Legal Education credit by the State Bar of California.

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Registration is required.

Cost

Free for BASF Law Students

\$45.00 Barristers Section Member

\$55.00 BASF Members, Government &

Nonprofit Attorneys

\$70.00 All Others

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