

CONTINUING LEGAL EDUCATION

THE BAR ASSOCIATION OF SAN FRANCISCO



The Business, Commercial and Bankruptcy Section of the Barristers Club presents

Market Manipulation in the Wake of the GameStop Saga

**Thursday
May 6, 2021**

Program: 12:00 - 1:15 p.m.

Speakers

Patrick Hunnius

General Counsel, Silver Rock Financial LP

Nick Morgan

Partner, Paul Hastings

Moderator

Matthew H. Lewis

Hanson Bridgett

This program will explore market manipulation in the age of social media, using the GameStop saga to analyze the various players, laws, and regulations that were implicated. The panelists will share their views on the conditions that created the phenomenon, exposures to liability, and what we can expect going forward. Ultimately, attendees should gain an understanding of what happened in order to better protect clients going forward, whether those are publicly traded companies, institutional investors, or retail investors.

Topics

- Market conditions that led to GameStop manipulation
- The roles of retail and institutional investors in GameStop and securities in general
- Roles of brokerages in facilitating buying, selling, and options trading
- Social media's influence on trading
- Potential for civil liability and on what basis; applicable laws and regulations
- What's next? Class action suits, Congressional hearings, etc.?
- How to best protect your clients going forward

Section Co-Chairs:

Roxanne Bahadurji, Diamond McCarthy & Karen Diep, Diamond McCarthy

Event Code: B211210 Register online: www.sfbbar.org/calendar

MCLE: 1 Hour

To receive MCLE credit, you must sign in during the designated MCLE registration period. This activity is approved for Minimum Continuing Legal Education credit by the State Bar of California. BASF is a certified provider.

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VIEWING OPTIONS

Live Webcast: www.sfbbar.org

Webcast Recording available May 16

In-Person Location (Due to the social-distancing rules currently in place, this event is currently planned as an online-only presentation. Please check the CLE calendar for any changes.)

Cost

\$35 Section Members

\$50 BASF Members, Government & Nonprofit Attorneys

\$65 Others

Free for BASF Student Members

All prices increase \$10 on the day of the program.

Event Code: B211210

BASF Members:

Be sure to log in to get your discounts!

Refunds will be given up to 48 hours in advance, less a \$10 handling fee.

Special Requests:

People with disabilities should contact BASF regarding reasonable accommodations.